

# Social Trust and Social Reciprocity Based Cooperative D2D Communications

Xu Chen  
School of ECEE  
Arizona State University  
Tempe, AZ 85287, USA  
xchen179@asu.edu

Brian Proulx  
School of ECEE  
Arizona State University  
Tempe, AZ 85287, USA  
bbproulx@asu.edu

Xiaowen Gong  
School of ECEE  
Arizona State University  
Tempe, AZ 85287, USA  
xgong9@asu.edu

Junshan Zhang  
School of ECEE  
Arizona State University  
Tempe, AZ 85287, USA  
junshan.zhang@asu.edu

## ABSTRACT

Thanks to the convergence of pervasive mobile communications and fast-growing online social networking, mobile social networking is penetrating into our everyday life. Aiming to develop a systematic understanding of the interplay between social structure and mobile communications, in this paper we exploit social ties in human social networks to enhance cooperative device-to-device communications. Specifically, as hand-held devices are carried by human beings, we leverage two key social phenomena, namely social trust and social reciprocity, to promote efficient cooperation among devices. With this insight, we develop a coalitional game theoretic framework to devise social-tie based cooperation strategies for device-to-device communications. We also develop a network assisted relay selection mechanism to implement the coalitional game solution, and show that the mechanism is immune to group deviations, individually rational, and truthful. We evaluate the performance of the mechanism by using real social data traces. Numerical results show that the proposed mechanism can achieve up-to 122% performance gain over the case without D2D cooperation.

## Categories and Subject Descriptors

C.2.1 [Network Architecture and Design]: Wireless communication

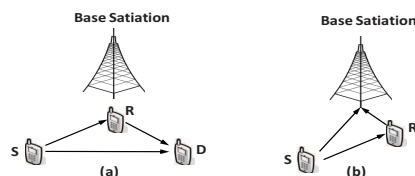
## Keywords

D2D Communication, Cooperative Networking, Mobile Social Networking, Social Trust, Social Reciprocity

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**Figure 1: An illustration of cooperative D2D communication for cooperative networking.** In sub-figure (a), device R serves as the relay for the D2D communication between devices S and D. In sub-figure (b), device R serves as the relay for the cellular communication between device S and the base station. In both cases, the D2D communication between devices S and R is part of cooperative networking.

## 1. INTRODUCTION

Mobile data traffic is predicted to grow further by over 100 times in the next ten years [1], which poses a significant challenge for future cellular networks. One promising approach to increase network capacity is to promote direct communications between hand-held devices. Such device-to-device (D2D) communications can offer a variety of advantages over traditional cellular communications, such as higher user throughput, improved spectral efficiency, and extended network coverage [6]. For example, a device can share the video content with neighboring devices who have the similar watching interest, which can help to reduce the traffic rate demand from the network operator.

Cooperative communication is an efficient D2D communication paradigm where devices can serve as relays for each other<sup>1</sup>. As illustrated in Figure 1, cooperative D2D communication can help to 1) improve the quality of D2D communication for direct data offer-loading between devices and 2) enhance the performance of cellular communications between the base station and the devices as well. Hence cooperative D2D communication can be a critical building block

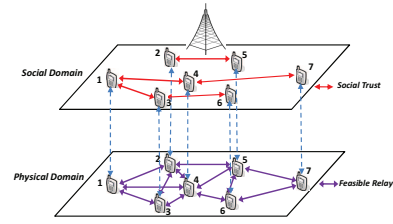
<sup>1</sup>There are many approaches for cooperative communications, and for ease of exposition this study assumes cooperative relaying.

for efficient cooperative networking for future wireless networks, wherein individual users cooperate to substantially boost the network capacity and cost-effectively provide rich multimedia services and applications, such as video conferencing and interactive media, anytime, anywhere. Nevertheless, a key challenge here is how to stimulate effective cooperation among devices for cooperative D2D communications. As different devices are usually owned by different individuals and they may pursue different interests, there is no good reason to assume that all devices would cooperate with each other.

Since the hand-held devices are carried by human beings, a natural question to ask is that “is it possible to leverage human social relationship to enhance D2D communications for cooperative networking?”. Indeed, with the explosive growth of online social networks such as Facebook and Twitter, more and more people are actively involved in online social interactions, and social relationships among people are hence extensively broadened and significantly enhanced [10]. This has opened up a new avenue for cooperative D2D communication system design – we believe that it has potential to propel significant advances in mobile social networking..

One primary goal of this study is to establish a new D2D cooperation paradigm by leveraging two key social phenomena: social trust and social reciprocity. Social trust can be built up among humans such as kinship, friendship, colleague relationship, and altruistic behaviors are observed in many human activities [8]. For example, when a device user is at home or work, typically family members, neighbors, colleagues, or friends are nearby. The device user can then exploit the social trust from these neighboring users to improve the quality of D2D communication, e.g., by asking the best trustworthy device to serve as the relay. Another key social phenomenon, social reciprocity, is also widely observed in human society [7]. Social reciprocity is a powerful social paradigm to promote cooperation so that a group of individuals without social trust can exchange mutually beneficial actions, making all of them better off. For example, when a device user does not have any trusted friends in the vicinity, he (she) may cooperate with the nearby strangers by providing relay assistance for each other to improve the quality of D2D communications.

As illustrated in Figure 2, cooperative D2D communications based on social trust and social reciprocity can be projected onto two domains: the physical domain and the social domain. In the physical domain, different devices have different feasible relay selection relationships subject to the physical constraints. In the social domain, different devices have different assistance relationships based on social trust among the devices. In this case, each device has two options for relay selection: 1) either seek relay assistance from another feasible device that has social trust towards him (her); 2) or participate in a group formed based on social reciprocity by exchanging mutually beneficial relay assistance. The main thrust of this study is devoted to tackling two key challenges for the social trust and social reciprocity based approach. The first is which option a device should adopt for relay selection: social trust or social reciprocity. The second is how to efficiently form groups among the devices that adopt the social reciprocity based relay selection. We will develop a coalitional game theoretic framework to address these challenges.



**Figure 2: An illustration of the social trust model for cooperative D2D communications. In the physical domain, different devices have different feasible cooperation relationships subject to physical constraints. In the social domain, different devices have different assistance relationships based on social trust among the devices.**

## 1.1 Summary of Main Contributions

The main contributions of this paper are as follows:

- *Social trust and social reciprocity based cooperative D2D communications:* We propose a novel social trust and social reciprocity based framework to promote efficient cooperation among devices for cooperative D2D communications. By projecting D2D communications in a mobile social network onto both physical and social domains, we introduce the physical-social graphs to model the interplay therein while capturing the physical constraints for feasible D2D cooperation and the social relationships among devices for effective cooperation.
- *Coalitional game solutions:* We formulate the relay selection problem for social trust and social reciprocity based cooperative D2D communications as a coalitional game. We show that the coalitional game admits the top-coalition property based on which we devise a core relay selection algorithm for computing the core solution to the game.
- *Network assisted relay selection mechanism:* We develop a network assisted mechanism to implement the coalitional game based solution. We show that the mechanism is immune to group deviations, individually rational, truthful, and computationally efficient. We further evaluate the performance of the mechanism by the real social data trace. Numerical results show that the proposed mechanism can achieve up-to 122% performance gain over the case without D2D cooperation.

A primary goal of this paper is to build a theoretically sound and practically relevant framework to understand social trust and social reciprocity based cooperative D2D communications. This framework highlights the interplay between potential physical network performance gain through efficient D2D cooperation and the exploitation of social relationships among device users to stimulate effective cooperation. Besides the cooperative D2D communication scenario where devices serve as relays for each other, the proposed social trust and social reciprocity based framework can also be applied to many other D2D cooperation scenarios, such as cooperative MIMO communications and mobile cloud computing. We believe that these initial steps presented here open a new avenue for mobile social networking and have

great potential to enhance network capacity in future wireless networks.

## 1.2 Related Work

Much effort has been made in the literature to stimulate, via incentive mechanisms, cooperation in wireless networks. Payment-based mechanisms have been widely considered to incentivize cooperation for wireless ad hoc networks [2]. Another widely adopted approach for cooperation stimulation is reputation-based mechanisms, where a centralized authority or the whole user population collectively keeps records of the cooperative behaviors and punishes non-cooperating users [12]. However, incentive mechanisms typically assume that all users are fully rational and they act in the selfish manner. Such an assumption are not appropriate for D2D communications as hand-held devices are carried by human beings and people typically act with bounded rationality and involve social interactions [8].

The social aspect is now becoming an important dimension for communication system design. Social structures, such as social community which are derived from the user contact patterns, have been exploited to design efficient data forwarding and routing algorithms in delay tolerant networks [5]. The social influence phenomenon has also been utilized to devise effective data dissemination mechanisms for mobile networks [4]. The common assumption among these works, however, is that all users are always willing to help others, e.g., for data forwarding and relaying. In this paper we propose a novel framework to stimulate cooperation among device users while also taking the social aspect into account.

The rest of this paper is organized as follows. We first introduce the system model in Section 2. We then study cooperative D2D communications based on social trust and social reciprocity and develop the network assisted relay selection mechanism in Sections 3 and 4, respectively. We evaluate the performance of the proposed mechanism by simulations in Section 5, and finally conclude in Section 6.

## 2. SYSTEM MODEL

In this section we present the system model of cooperative D2D communications based on social trust and social reciprocity – a new mobile social networking paradigm. As illustrated in Figure 2, cooperative D2D communications can be projected onto two domains: the physical domain and the social domain. In the physical domain, different devices have different feasible cooperation relationships for cooperative D2D communications subject to the physical constraints. In the social domain, different devices have different assistance relationships based on social relationships among the devices. We next discuss both physical and social domains in detail.

### 2.1 Physical (Communication) Graph Model

We consider a set of nodes  $\mathcal{N} = \{1, 2, \dots, N\}$  where  $N$  is the total number of nodes. Each node  $n \in \mathcal{N}$  is a wireless device that would like to conduct D2D communication to transmit data packets to its corresponding destination  $d_n$ . Notice that a destination  $d_n$  may also be a transmit node in the set  $\mathcal{N}$  of another D2D communication link or the base station. The D2D communication is underlaid beneath a cellular infrastructure wherein there exists a base station controlling the up-link/down-link communications of the cel-

lular devices. To avoid generating severe interference to the incumbent cellular devices, each node  $n \in \mathcal{N}$  will first send a D2D communication establishment request message to the base station. The base station then computes the allowable transmission power level  $p_n$  for the D2D communication of node  $n$  based on the system parameters such as geolocation of the node  $n$  and the protection requirement of the neighboring cellular devices. For example, the proper transmission power  $p_n$  of the D2D communication can be computed according to the power control algorithm proposed in [15].

We consider a time division multiple access (TDMA) mechanism in which the transmission time is slotted and one node  $n \in \mathcal{N}$  is scheduled to carry out its D2D communication in a time slot<sup>2</sup>. At the allotted time slot, node  $n$  can choose either to transmit to the destination node  $d_n$  directly or to use cooperative communication by asking another node  $m$  in its vicinity to serve as a relay.

Due to the physical constraints such as signal attenuation, only a subset of nodes that are close enough can be feasible relay candidates for the node  $n$ . To take such physical constraints into account, we introduce the physical graph<sup>3</sup>  $\mathcal{G}^P \triangleq \{\mathcal{N}, \mathcal{E}^P\}$  where the set of nodes  $\mathcal{N}$  is the vertex set and  $\mathcal{E}^P \triangleq \{(n, m) : e_{nm}^P = 1, \forall n, m \in \mathcal{N}\}$  is the edge set where  $e_{nm}^P = 1$  if and only if node  $m$  is a feasible relay for node  $n$ . An illustration of the physical graph is given in Figure 2. We also denote the set of nodes that can serve as a feasible relay of node  $n$  as  $\mathcal{N}_n^P \triangleq \{m \in \mathcal{N} : e_{nm}^P = 1\}$ . A recent work in [16] shows that it is sufficient for a source node to choose the best relay node among multiple candidates to achieve full diversity. For ease of exposition, we hence assume that each node  $n$  selects at most one neighboring node  $m \in \mathcal{N}_n^P$  as the relay.

For ease of exposition, we consider the full duplex decode-and-forward (DF) relaying scheme [9] for the cooperative D2D communication. Let  $r_n \in \mathcal{N}_n^P$  denote the relay node chosen by node  $n \in \mathcal{N}$  for cooperative communication. The data rate achieved by node  $n$  is then given as [9]

$$Z_{n,r_n}^{DF} = \frac{W}{N} \min\{\log(1 + \mu_{nr_n}), \log(1 + \mu_{nd_n} + \mu_{r_nd_n})\},$$

where  $W$  denotes the channel bandwidth and  $\mu_{ij}$  denotes the signal-to-noise ratio (SNR) at device  $j$  when device  $i$  transmits a signal to device  $j$ . As an alternative, the node  $n$  can also choose to transmit directly without any relay assistance and achieve a data rate of  $Z_n^{Dir} = \frac{W}{N} \log(1 + \mu_{nd_n})$ .

For simplicity, we define the data rate function of node  $n$  as  $R_n : \mathcal{N}_n^P \cup \{n\} \rightarrow \mathbb{R}_+$ , which is given by

$$R_n(r_n) = \begin{cases} Z_{n,r_n}^{DF}, & \text{if } r_n \neq n, \\ Z_n^{Dir}, & \text{if } r_n = n. \end{cases} \quad (1)$$

We will use the terminology that node  $n$  chooses itself as the relay for the situation in which node  $n$  transmits directly to its destination  $d_n$ .

### 2.2 Social Graph Model

We next introduce the social trust model for cooperative D2D communications. The underlying rationale of using social trust is that the hand-held devices are carried by human

<sup>2</sup>Our methods are also applicable to other multiple access schemes.

<sup>3</sup>The graphs (e.g., physical graph and social graph) in this paper can be directed.

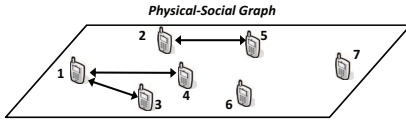


Figure 3: The physical-social graph based on the physical graph and social graph in Figure 2. For example, there exists an edge between nodes 1 and 3 in the physical-social graph since they can serve as the feasible relay for each other and also have social trust towards each other.

beings and the knowledge of human social ties can be utilized to achieve effective and trustworthy relay assistance for cooperative D2D communications.

More specifically, we introduce the social graph  $\mathcal{G}^S = \{\mathcal{N}, \mathcal{E}^S\}$  to model the social trust among the nodes. Here the vertex set is the same as the node set  $\mathcal{N}$  and the edge set is given as  $\mathcal{E}^S = \{(n, m) : e_{nm}^S = 1, \forall n, m \in \mathcal{N}\}$ , where  $e_{nm}^S = 1$  if and only if nodes  $n$  and  $m$  have social trust towards each other, which can be kinship, friendship, or colleague relationship between two nodes. We denote the set of nodes that have social trust towards node  $n$  as  $\mathcal{N}_n^S = \{m : e_{nm}^S = 1, \forall m \in \mathcal{N}\}$ , and we assume that the nodes in  $\mathcal{N}_n^S$  are willing to serve as the relay of node  $n$  for cooperative communication.

Based on the physical graph  $\mathcal{G}^P$  and social graph  $\mathcal{G}^S$  above, each node  $n \in \mathcal{N}$  can classify the set of feasible relay nodes in  $\mathcal{N}_n^P$  into two types: nodes with social trust and nodes without social trust. A node  $n$  then has two options for relay selection. On the one hand, the node  $n$  can choose to seek relay assistance from another feasible device that has social trust towards him (her). On the other hand, the node  $n$  can choose to participate in a group formed based on social reciprocity by exchanging mutually beneficial relay assistance. In the following, we will study 1) how to choose between social trust and social reciprocity based relay selections for each node; and 2) how to efficiently form reciprocal groups among the nodes without social trust.

### 3. SOCIAL TRUST AND SOCIAL RECIPROCITY BASED COOPERATIVE D2D COMMUNICATIONS

In this section, we study the cooperative D2D communications based on social trust and social reciprocity. As mentioned, each node  $n \in \mathcal{N}$  has two options for relay selection: social trust based versus social reciprocity. We next address the issues of choosing between social trust and social reciprocity based relay selections for each node and the reciprocal group forming among the nodes without social trust.

#### 3.1 Social Trust Based Relay Selection

We first consider social trust based relay selection for D2D cooperation. The key motivation for using social trust is to utilize the knowledge of human social ties to achieve effective and trustworthy relay assistance among the devices for cooperative D2D communications. For example, when a device user is at home or working place, he (she) typically has family members, neighbors, colleagues, or friends in the vicinity. The device user can then exploit the social trust

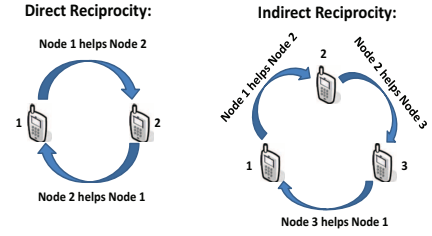


Figure 4: An illustration of direct and indirect reciprocity

from neighboring users to improve the quality of D2D communication by asking the best trustworthy device to serve as the relay.

To take both the physical and social constraints into account, we define the *physical-social graph*  $\mathcal{G}^{PS} \triangleq \{\mathcal{N}, \mathcal{E}^{PS}\}$  where the vertex set is the node set  $\mathcal{N}$  and the edge set  $\mathcal{E}^{PS} = \{(n, m) : e_{nm}^{PS} \triangleq e_{nm}^P \cdot e_{nm}^S = 1, \forall n, m \in \mathcal{N}\}$ , where  $e_{nm}^{PS} = 1$  if and only if node  $m$  is a feasible relay (i.e.,  $e_{nm}^P = 1$ ) and has social trust towards node  $n$  (i.e.,  $e_{nm}^S = 1$ ). An illustration of the physical-social graph is depicted in Figure 3. We also denote the set of nodes that have social trust towards node  $n$  and are also feasible relay candidates for node  $n$  as  $\mathcal{N}_n^{PS} = \{m : e_{nm}^{PS} = 1, \forall m \in \mathcal{N}\}$ .

For cooperative D2D communications based on social trust, each node  $n \in \mathcal{N}$  can choose the best relay to maximize its data rate subject to both physical and social constraints, i.e.,  $r_n^S = \arg \max_{r_n \in \mathcal{N}_n^{PS} \cup \{n\}} R_n(r_n)$ .

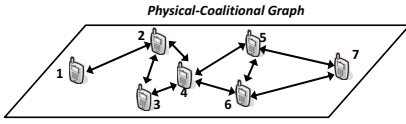
#### 3.2 Social Reciprocity Based Relay Selection

Next, we study the social reciprocity based relay selection. Different from D2D cooperation based on social trust which requires strong social ties among device users, social reciprocity is a powerful mechanism for promoting mutual cooperation among the nodes in the absence of social trust. For example, when a device user does not have any friends in the vicinity, he (she) may cooperate with the nearby strangers by providing relay assistance for each other to improve the quality of D2D communications. In general, there are two types of social reciprocity: direct reciprocity and indirect reciprocity<sup>4</sup> (see Figure 4 for an illustration). Direct reciprocity is captured in the principle of “you help me, and I will help you”. That is, two individuals exchange altruistic actions so that both obtain a net benefit. Indirect reciprocity is essentially the concept of “I help you, and someone else will help me”. That is, a group of individuals exchange altruistic actions so that all of them can be better off.

To better describe the possible cooperation relationships among the the set of nodes without social trust, we introduce the *physical-coitional graph*  $\mathcal{G}^{PC} = \{\mathcal{N}, \mathcal{E}^{PC}\}$ . Here the vertex set is the node set  $\mathcal{N}$  and the edge set  $\mathcal{E}^{PC} = \{(n, m) : e_{nm}^{PC} \triangleq e_{nm}^P \cdot (1 - e_{nm}^S) = 1, \forall n, m \in \mathcal{N}\}$ , where  $e_{nm}^{PC} = 1$  if and only if node  $m$  is a feasible relay (i.e.,  $e_{nm}^P = 1$ ) and has no social trust towards node  $n$  (i.e.,  $e_{nm}^S = 0$ ). An illustration of physical-coitional graph is depicted in Figure 5. We also denote the set of nodes that have no social trust towards user  $n$  but are feasible relay candidates of node  $n$  as  $\mathcal{N}_n^{PC} \triangleq \{m : e_{nm}^{PC} = 1, \forall m \in \mathcal{N}\}$ . For social

<sup>4</sup>Reciprocity in this study refers to social reciprocity.





**Figure 5: The physical-coitional graph based on the physical graph and social graph in Figure 6. For example, there exists an edge between nodes 1 and 2 in the physical-coitional graph since they can serve as the feasible relay for each other and have no social trust towards each other.**

reciprocity based relay selection, a key challenge is how to efficiently divide the nodes into multiple groups such that the nodes can significantly improve their data rates by the reciprocal cooperation within the groups. We next develop a coalitional game framework to address this challenge.

### 3.2.1 Introduction to Coalitional Game

For the sake of completeness, we first give a brief introduction to the coalitional game [13]. Formally, a coalitional game consists of a tuple  $\Omega = \{\mathcal{N}, \mathcal{X}_{\mathcal{N}}, V, (\succ_n)_{n \in \mathcal{N}}\}$ , where

- $\mathcal{N}$  is a finite set of players.
- $\mathcal{X}_{\mathcal{N}}$  is the space of feasible cooperation strategies of all players.
- $V$  is a characteristic function that maps from every nonempty subset of players  $\mathcal{S} \subseteq \mathcal{N}$  (a coalition) to a subset of feasible cooperation strategies  $V(\mathcal{S}) \subseteq \mathcal{X}_{\mathcal{N}}$ . This represents the possible cooperation strategies among the players in the coalition  $\mathcal{S}$ , given that other players out of the coalition  $\mathcal{S}$  do not participate in any cooperation.
- $\succ_n$  is a strict preference order (reflexive, complete, and transitive binary relation) on  $\mathcal{X}_{\mathcal{N}}$  for each player  $n \in \mathcal{N}$ . This captures the idea that different players may have different preferences over different cooperation strategies.

In the same spirit as Nash equilibrium in a non-cooperative game, the “core” plays a critical role in the coalitional game.

**DEFINITION 1.** *The core is the set of  $\mathbf{x} \in V(\mathcal{N})$  for which there does not exist a coalition  $\mathcal{S}$  and  $\mathbf{y} \in V(\mathcal{S})$  such that  $\mathbf{y} \succ_n \mathbf{x}$  for all  $n \in \mathcal{S}$ .*

Intuitively, the core is a set of cooperation strategies such that no coalition can deviate and improve for all its members by cooperation within the coalition [13].

### 3.2.2 Coalitional Game Formulation

We then cast the social reciprocity based relay selection problem as a coalitional game  $\Omega = \{\mathcal{N}, \mathcal{X}_{\mathcal{N}}, V, (\succ_n)_{n \in \mathcal{N}}\}$  as follows:

- the set of players  $\mathcal{N}$  is the set of nodes.
- the set of cooperation strategies  $\mathcal{X}_{\mathcal{N}} = \{(r_n)_{n \in \mathcal{N}} : r_n \in \mathcal{N}_n^{PC} \cup \{n\}, \forall n \in \mathcal{N}\}$ , which describes the set of possible relay selections for all nodes based on the physical-coitional graph  $\mathcal{G}^{PC}$ .
- the characteristic function  $V(\mathcal{S}) = \{(r_n)_{n \in \mathcal{N}} \in \mathcal{X}_{\mathcal{N}} : \{r_n\}_{n \in \mathcal{S}} = \{k\}_{k \in \mathcal{S}} \text{ and } r_m = m, \forall m \in \mathcal{N} \setminus \mathcal{S}\}$  for each coalition  $\mathcal{S} \subseteq \mathcal{N}$ . Here the condition “ $\{r_n\}_{n \in \mathcal{S}} =$

$\{k\}_{k \in \mathcal{S}}$ ” represents the possible relay assistance exchange among the nodes in the coalition  $\mathcal{S}$ . The condition “ $r_m = m, \forall m \in \mathcal{N} \setminus \mathcal{S}$ ” states that the nodes out of the coalition  $\mathcal{S}$  would not participate in any cooperation and choose to transmit directly. For example, in Figure 4, the coalition  $\mathcal{S} = \{1, 2\}$  in the direct reciprocity case adopts the cooperation strategy  $r_1 = 2$  and  $r_2 = 1$  and the coalition  $\mathcal{S} = \{1, 2, 3\}$  in the indirect reciprocity case adopts the cooperation strategy  $r_1 = 3, r_2 = 1$  and  $r_3 = 2$ .

- the preference order  $\succ_n$  is defined as  $(r_m)_{m \in \mathcal{N}} \succ_n (r'_m)_{m \in \mathcal{N}}$  if and only if  $r_n \succ_n r'_n$ . That is, node  $n$  prefers the relay selection  $(r_m)_{m \in \mathcal{N}}$  to another selection  $(r'_m)_{m \in \mathcal{N}}$  if and only if its assigned relay  $r_n$  in the former selection  $(r_m)_{m \in \mathcal{N}}$  is better than the assigned relay  $r'_n$  in the latter selection  $(r'_m)_{m \in \mathcal{N}}$ . In the following, we define that  $r_n \succ_n r'_n$  when  $R_n(r_n) > R_n(r'_n)$ , and if  $R_n(r_n) = R_n(r'_n)$  then ties are broken arbitrarily.

The core of this coalitional game is a set of  $(r_n^*)_{n \in \mathcal{N}} \in V(\mathcal{N})$  for which there does not exist a coalition  $\mathcal{S}$  and  $(r_n)_{n \in \mathcal{N}} \in V(\mathcal{S})$  such that  $(r_n)_{n \in \mathcal{N}} \succ_n (r_n^*)_{n \in \mathcal{N}}$  for all  $n \in \mathcal{S}$ . In other words, no coalition of nodes can deviate and improve their relay selection by cooperation in the coalition. We will refer the solution  $(r_n^*)_{n \in \mathcal{N}}$  as the core relay selection in the sequel.

### 3.2.3 Core Relay Selection

We now study the existence of the core relay selection. To proceed, we first introduce the following key concepts of coalitional game.

**DEFINITION 2.** *Given a coalitional game  $\Omega = \{\mathcal{N}, \mathcal{X}_{\mathcal{N}}, V, (\succ_n)_{n \in \mathcal{N}}\}$ , we call a coalitional game  $\Phi = \{\mathcal{M}, \mathcal{X}_{\mathcal{M}}, V, (\succ_m)_{m \in \mathcal{M}}\}$  a coalitional sub-game of the game  $\Omega$  if and only if  $\mathcal{M} \subseteq \mathcal{N}$  and  $\mathcal{M} \neq \emptyset$ .*

In other words, a coalitional sub-game  $\Phi$  is a coalitional game defined on a subset of the players of the original coalitional game  $\Omega$ .

**DEFINITION 3.** *Given a coalitional sub-game  $\Phi = \{\mathcal{M}, \mathcal{X}_{\mathcal{M}}, V, (\succ_m)_{m \in \mathcal{M}}\}$ , a non-empty subset  $\mathcal{S} \subseteq \mathcal{M}$  is a top-coalition of the game  $\Phi$  if and only if there exists a cooperation strategy  $(r_m)_{m \in \mathcal{M}} \in V(\mathcal{S})$  such that for any  $\mathcal{K} \subseteq \mathcal{M}$  and any cooperation strategy  $(r'_m)_{m \in \mathcal{M}} \in V(\mathcal{K})$  satisfying  $r_m \neq r'_m$  for any  $m \in \mathcal{S}$ , we have  $r_m \succ_m r'_m$  for any  $m \in \mathcal{S}$ .*

That is, by adopting the cooperation strategy  $(r_m)_{m \in \mathcal{S}}$ , the coalition  $\mathcal{S}$  is a group that is mutually the best for all its members [3].

**DEFINITION 4.** *A coalitional game  $\Omega = \{\mathcal{N}, \mathcal{X}_{\mathcal{N}}, V, (\succ_n)_{n \in \mathcal{N}}\}$  satisfies the top-coalition property if and only if there exists a top-coalition for any its coalitional sub-game  $\Phi$ .*

We then show that the proposed coalitional game for social reciprocity based relay selection satisfies the top-coalition property. For simplicity, we first denote  $\tilde{\mathcal{N}}_n^{PC} \triangleq \mathcal{N}_n^{PC} \cup \{n\}$ . For a coalitional sub-game  $\Phi = \{\mathcal{M}, \mathcal{X}_{\mathcal{M}}, V, (\succ_m)_{m \in \mathcal{M}}\}$ , we denote the mapping  $\gamma(n, \mathcal{M})$  as the most preferable relay of node  $n \in \mathcal{M}$  in the set of nodes  $\mathcal{M} \cap \tilde{\mathcal{N}}_n^{PC}$ , i.e.,  $\gamma(n, \mathcal{M}) \succ_n i$  for any  $i \neq \gamma(n, \mathcal{M})$  and  $i \in \mathcal{M} \cap \tilde{\mathcal{N}}_n^P$ . Based on the mapping  $\gamma$ , we can define the concept of reciprocal relay selection cycle as follows.

DEFINITION 5. Given a coalitional sub-game  $\Phi = \{\mathcal{M}, \mathcal{X}_{\mathcal{M}}, V, (\succ_m)_{m \in \mathcal{M}}\}$ , a node sequence  $(n_1, \dots, n_L)$  is called a reciprocal relay selection cycle of length  $L$  if and only if  $\gamma(n_l, \mathcal{M}) = n_{l+1}$  for  $l = 1, \dots, L-1$  and  $\gamma(n_L, \mathcal{M}) = n_1$ .

Notice that when  $L = 1$  (i.e.,  $\gamma(n, \mathcal{M}) = n$ ), the most preferable choice of node  $n$  is to choose to transmit directly; when  $L = 2$ , this corresponds to the direct reciprocity case; when  $L \geq 3$ , this corresponds to the indirect reciprocity case. Since the number of nodes (i.e.,  $|\mathcal{M}|$ ) is finite, there hence must exist at least one reciprocal relay selection cycle for the coalitional sub-game  $\Phi$ . This leads to the following result.

LEMMA 1. Given a coalitional sub-game  $\Phi$ , there exists at least one reciprocal relay selection cycle. Any reciprocal relay selection cycle is a top-coalition of the coalitional sub-game  $\Phi$ .

According to Lemma 1, we have the following result.

LEMMA 2. The coalitional game  $\Omega$  for cooperative D2D communications satisfies the top-coalition property.

Based on the top-coalition property, we can construct the core relay selection in an iterative manner. Let  $\mathcal{M}_t$  denote the set of nodes of the coalitional sub-game  $\Phi_t = \{\mathcal{M}_t, \mathcal{X}_{\mathcal{M}_t}, V, (\succ_m)_{m \in \mathcal{M}_t}\}$  in the  $t$ -th iteration. Based on the mapping  $\gamma$  and the given set of nodes  $\mathcal{M}_t$ , we can then find all the reciprocal relay selection cycles as  $\mathcal{C}_1^t, \dots, \mathcal{C}_{Z_t}^t$  where each cycle  $\mathcal{C}_z^t = (n_1^t, \dots, n_{|\mathcal{C}_z^t|}^t)$  is a node sequence and  $Z_t$  denotes the number of cycles at the  $t$ -th iteration. Abusing notation, we will also use  $\mathcal{C}_z^t$  to denote the set of nodes in the cycle  $\mathcal{C}_z^t$ . We can then construct the core relay selection as follows. For the first iteration  $t = 1$ , we set  $\mathcal{M}_1 = \mathcal{N}$  and find the reciprocal relay selection cycles as  $\mathcal{C}_1^1, \dots, \mathcal{C}_{Z_1}^1$  based on the set of nodes  $\mathcal{M}_1$ . For the second iteration  $t = 2$ , we can then set that  $\mathcal{M}_2 = \mathcal{M}_1 \setminus \bigcup_{i=1}^{Z_1} \mathcal{C}_i^1$  (i.e., remove the nodes in the cycles in the previous iteration) and find the new reciprocal relay selection cycles as  $\mathcal{C}_1^2, \dots, \mathcal{C}_{Z_2}^2$  based on the set of nodes  $\mathcal{M}_2$ . This procedure repeats until the set of nodes  $\mathcal{M}_t = \emptyset$  (i.e., no operation can be further carried out). We summarize the above procedure for constructing the core relay selection in Algorithm 1.

Suppose that the algorithm takes  $T$  iterations to converge. We can obtain the set of reciprocal relay selection cycles in all  $T$  iterations as  $\{\mathcal{C}_i^t : \forall i = 1, \dots, Z_t \text{ and } t = 1, \dots, T\}$ . Since the mapping  $\gamma(n, \mathcal{M}_t)$  is unique for each node  $n \in \mathcal{M}_t$ , we must have that  $\bigcup_{i=1}^{Z_t} \mathcal{C}_i^t = \mathcal{N}$  (i.e., all the nodes are in the cycles) and  $\mathcal{C}_i^t \cap \mathcal{C}_j^{t'} = \emptyset$  for any  $i \neq j$  and  $t, t' = 1, \dots, T$  (i.e., there do not exist any intersecting cycles). For each cycle  $\mathcal{C}_i^t = (n_1^t, \dots, n_{|\mathcal{C}_i^t|}^t)$ , we can then define the relay selection as  $r_{n_l^t}^* = n_{l+1}^t$  for any  $l = 1, 2, \dots, |\mathcal{C}_i^t| - 1$  and  $r_{n_{|\mathcal{C}_i^t|}^t}^* = n_1^t$ . We

show that  $(r_n^*)_{n \in \mathcal{N}}$  is a core relay selection of the coalitional game  $\Omega$  for the social reciprocity based relay selection.

THEOREM 1. The relay selection  $(r_n^*)_{n \in \mathcal{N}}$  is a core solution to the coalitional game  $\Omega$  for the social reciprocity based relay selection.

PROOF. We prove the result by contradiction. We assume that there exists a nonempty coalition  $\mathcal{S} \subseteq \mathcal{N}$  with another relay selection  $(r_m)_{m \in \mathcal{N}} \in V(\mathcal{S})$  satisfying  $(r_m)_{m \in \mathcal{N}} \succ_n (r_m^*)_{m \in \mathcal{N}}$  for any  $n \in \mathcal{S}$ . Let  $\mathcal{C}^t = \bigcup_{i=1}^{Z_t} \mathcal{C}_i^t$  be the set of nodes in the reciprocal relay selection cycles obtained in the  $t$ -th iteration. According to Lemma 1, we know that each cycle

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### Algorithm 1 Core Relay Selection Algorithm

---

- 1: **initialization:**
  - 2:     **set** initial set of nodes  $\mathcal{M}_1 = \mathcal{N}$ .
  - 3:     **set** iteration index  $t = 1$ .
  - 4: **end initialization**
  
  - 5: **loop** until  $\mathcal{M}_t = \emptyset$ :
  - 6:     **find** all the reciprocal relay selection cycles  $\mathcal{C}_1^t, \dots, \mathcal{C}_{Z_t}^t$ .
  - 7:     **remove** the set of nodes in the cycles from the current set of nodes  $\mathcal{M}_t$ , i.e.,  $\mathcal{M}_{t+1} = \mathcal{M}_t \setminus \bigcup_{i=1}^{Z_t} \mathcal{C}_i^t$ .
  - 8:     **set**  $t = t + 1$ .
  - 9: **end loop**
- 

$\mathcal{C}_i^1$  is a top-coalition given the set of nodes  $\mathcal{M}_1 = \mathcal{N}$ . By the definition of top-coalition, we must have that  $\mathcal{S} \cap \mathcal{C}_i^1 = \emptyset$ . In this case, we have that  $\mathcal{S} \subseteq \mathcal{M}_2 \triangleq \mathcal{M}_1 \setminus \mathcal{C}_i^1$ . Similarly, each cycle  $\mathcal{C}_i^2$  is a top-coalition given the set of nodes  $\mathcal{M}_2$ . We thus also have that  $\mathcal{S} \cap \mathcal{C}_i^2 = \emptyset$ . Repeating this argument, we can find that  $\mathcal{S} \cap \mathcal{C}_i^t = \emptyset$  for any  $t = 1, \dots, T$ . Since  $\mathcal{N} = \bigcup_{t=1}^T \mathcal{C}_i^t$ , we must have that  $\mathcal{S} \cap \mathcal{N} = \emptyset$ , which contradicts with the hypothesis that  $\mathcal{S} \subseteq \mathcal{N}$  and  $\mathcal{S} \neq \emptyset$ . This completes the proof.  $\square$

### 3.3 Social Trust and Social Reciprocity Based Relay Selection

According to the principles of social trust and social reciprocity above, each node  $n \in \mathcal{M}$  has two options for relay selection. The first option is that node  $n$  can choose the best relay  $r_n^S = \arg \max_{r_n \in \mathcal{N}_n^{PS} \cup \{n\}} R_n(r_n)$  from the set of nodes with social trust  $\mathcal{N}_n^{PS}$ . Alternatively, node  $n$  can choose a relay  $r_n \in \mathcal{N}_n^{PC}$  from the set of nodes without social trust by participating in a directly or indirectly reciprocal cooperation group.

We next address the issue of choosing between social trust and social reciprocity based relay selections for each node, by generalizing the core relay selection  $(r_n^*)_{n \in \mathcal{N}}$  in Section 3.2.3. The key idea is to adopt the social trust based relay selection  $r_n^S$  as the benchmark for participating in the social reciprocity based relay selection. That is, a node  $n$  prefers social reciprocity based relay selection to social trust based relay selection if the social reciprocity based relay selection offers better performance. More specifically, we define that  $r_n \succ_n n$  if and only if  $r_n \succ_n r_n^S$  and the selection “ $r_n = n$ ” represents that node  $n$  will select the relay  $r_n^S$  based on social trust. Based on this, we can then compute the core relay selection  $(r_n^*)_{n \in \mathcal{N}}$  according to Algorithm 1. In this case, if we have  $r_m^* = m$  in the core relay selection  $(r_n^*)_{n \in \mathcal{N}}$ , then node  $m$  will select the relay  $r_m^S$  based on social trust. If we have  $r_m^* \neq m$  in the core relay selection  $(r_n^*)_{n \in \mathcal{N}}$ , then node  $m$  will select the relay based on social reciprocity.

## 4. NETWORK ASSISTED RELAY SELECTION MECHANISM

In this section, we turn our attention to the implementation of the core relay selection for social trust and social reciprocity based cooperative D2D communications. A key issue here is how to find the reciprocal relay selection cycles in the proposed core relay selection algorithm (see Algorithm 1). In the following, we will first propose an algorithm for finding the reciprocal relay selection cycles, and then develop a network assisted mechanism to implement the core relay selection solution in practical D2D communication systems.

## 4.1 Reciprocal Relay Selection Cycle

We first consider the issue of finding the reciprocal relay selection cycles in the core relay selection algorithm. We introduce a graphical approach to address this issue. More specifically, given the set of nodes  $\mathcal{M}_t$  and the mapping  $\gamma$ , we can construct a graph  $\mathcal{G}^{\mathcal{M}_t} = \{\mathcal{M}_t, \mathcal{E}^{\mathcal{M}_t}\}$ . Here the set of vertices is  $\mathcal{M}_t$  and the set of edges  $\mathcal{E}^{\mathcal{M}_t} = \{(nm) : e_{nm}^{\mathcal{M}_t} = 1, \forall n, m \in \mathcal{M}_t\}$  where there is an edge directed from node  $n$  to  $m$  (i.e.,  $e_{nm}^{\mathcal{M}_t} = 1$ ) if and only if  $\gamma(n, \mathcal{M}_t) = m$ .

We next introduce the concept of path in graph theory. A path of length  $I$  on a graph is a sequence of nodes  $(n_1, n_2, \dots, n_I)$  where there is an edge directed from node  $n_i$  to  $n_{i+1}$  on the graph for any  $i = 1, \dots, I-1$ . A cycle of the graph is a path in which the first and last nodes are identical. A reciprocal relay selection cycle of the coalitional game then corresponds to a cycle of the graph  $\mathcal{G}^{\mathcal{M}_t}$ . When  $\gamma(n, \mathcal{M}_t) = n$ , the cycle degenerates to a self-loop of node  $n$ . In the following, we say a path  $(n_1, n_2, \dots, n_I)$  induces a cycle if there exists a path beginning from node  $n_I$  that is a cycle. If two cycles are a cyclic permutation of each other, we will regard them as one cycle.

**LEMMA 3.** *Any sufficiently long path beginning from any node on the graph  $\mathcal{G}^{\mathcal{M}_t}$  induces one and only one cycle.*

Based on Lemma 3, we propose an algorithm to find the reciprocal relay selection cycles in Algorithm 2. The key idea of the algorithm is to explore the paths beginning from each node. More specifically, if a path beginning from a node induces an unfound cycle, then we find a new cycle. We will set the nodes in both the path and cycle as visited nodes since any path beginning from these nodes would induce the same cycle. If a path beginning from a node leads to a visited node, the path would induce a cycle which has already been found if we continue to construct the path on the visited nodes. We will also set the nodes in the path as visited nodes. Since each node will be visited once in the algorithm, the computational complexity of the reciprocal relay selection cycles finding algorithm is  $\mathcal{O}(|\mathcal{M}_t|)$ .

## 4.2 NARS mechanism

We now propose a network assisted relay selection (NARS) mechanism to implement the core relay selection, which works as follows.

- Each node  $n \in \mathcal{N}$  first determines its preference list  $\mathcal{L}_n^P$  for the set of feasible relay selections  $\tilde{\mathcal{N}}_n^P \triangleq \mathcal{N}_n^P \cup \{n\}$  based on the physical graph  $\mathcal{G}^P$ . Here  $\mathcal{L}_n = (r_n^1, \dots, r_n^{|\tilde{\mathcal{N}}_n^P|})$  is a permutation of all the feasible relays in  $\tilde{\mathcal{N}}_n^P$  satisfying that  $r_n^i \succ_n r_n^{i+1}$  for any  $i = 1, \dots, |\tilde{\mathcal{N}}_n^P| - 1$ . This step can be done through the channel probing procedure to measure the achieved data rate resulting from choosing with different relays.
- Each node  $n \in \mathcal{N}$  then computes the best social trust based relay selection  $r_n^S = \arg \max_{r_n \in \mathcal{N}_n^{PS} \cup \{n\}} R_n(r_n)$  based on the physical-social graph  $\mathcal{G}^{PS}$  and the preference list  $\mathcal{L}_n^P$ .
- Each node  $n \in \mathcal{N}$  next determines its preference list  $\mathcal{L}_n^{PC}$  for the set of relay selections  $\mathcal{N}_n^{PC} \cup \{n\}$  based on the physical-coalitional graph  $\mathcal{G}^{PC}$ . Notice that we have that  $r_n \succ_n n$  in the preference list  $\mathcal{L}_n^{PC}$  if and only if  $r_n \succ_n r_n^S$  in the preference list  $\mathcal{L}_n^P$ .

---

### Algorithm 2 Algorithm For Finding Reciprocal Relay Selection Cycles

---

```

1: initialization:
2:   construct the graph  $\mathcal{G}^{\mathcal{M}_t}$  based on the set of nodes  $\mathcal{M}_t$ 
   and the mappings  $\{\gamma(n, \mathcal{M}_t)\}_{n \in \mathcal{M}_t}$ .
3:   set the set of visited nodes  $\mathcal{V} = \emptyset$  and the set of unvisited
   nodes  $\mathcal{U} = \mathcal{M}_t \setminus \mathcal{V}$ .
4:   set the set of identified cycles  $\Delta = \emptyset$ .
5: end initialization

6: loop until  $\mathcal{U} = \emptyset$ :
7:   select one node  $n_a \in \mathcal{U}$  randomly.
8:   set the set of visited nodes in the current path  $\mathcal{H} = \{n_a\}$ .
9:   set the flag  $F = 0$ .
10:  loop until  $F = 1$ :
11:    generate the next node  $n_b = \gamma(n_a, \mathcal{M}_t)$ .
12:    if  $n_b \in \mathcal{V}$  then
13:      set  $\mathcal{V} = \mathcal{V} \cup \mathcal{H}$  and  $\mathcal{U} = \mathcal{M}_t \setminus \mathcal{V}$ .
14:      set  $F = 1$ .
15:    else if  $n_b \in \mathcal{H}$  then
16:      set the identified cycle as  $\mathcal{C} = (n_1 = n_b, \dots, n_i =$ 
 $\gamma(n_{i-1}, \mathcal{M}_t), \dots, n_I = n_a)$ .
17:      set the set of identified cycles  $\Delta = \Delta \cup \{\mathcal{C}\}$ .
18:      set  $\mathcal{V} = \mathcal{V} \cup \mathcal{H}$  and  $\mathcal{U} = \mathcal{M}_t \setminus \mathcal{V}$ .
19:      set  $F = 1$ .
20:    else
21:      set  $\mathcal{H} = \mathcal{H} \cup \{n_b\}$ .
22:      set  $n_a = n_b$ .
23:    end if
24:  end loop
25: end loop

```

---

- Each node  $n \in \mathcal{N}$  then reports its preference list  $\mathcal{L}_n^{PC}$  to the base-station.
- Based on the preference lists  $\mathcal{L}_n^{PC}$  of all nodes, the base-station computes the core relay selection  $(r_n^*)_{n \in \mathcal{N}}$  according to Algorithms 1 and 2 and broadcasts the relay selection  $(r_n^*)_{n \in \mathcal{N}}$  to all nodes.

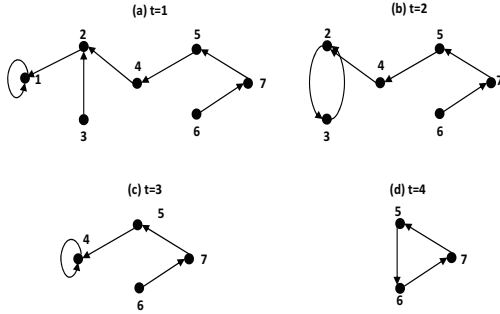
As mentioned in Section 3.3, if  $r_m^* = m$  in the core relay selection  $(r_n^*)_{n \in \mathcal{N}}$ , then node  $m$  will select the relay  $r_n^S$  based on social trust. If  $r_m^* \neq m$  in the core relay selection  $(r_n^*)_{n \in \mathcal{N}}$ , then node  $m$  will select the relay based on social reciprocity.

We now use an example to illustrate how the NARS mechanism works. We consider the network of  $N = 7$  nodes based on the physical graph  $\mathcal{G}^P$  and the social graph  $\mathcal{G}^S$  in Figure 2. According to NARS mechanism, each node  $n$  first determines its preference list  $\mathcal{L}_n$  for the set of feasible relay selections  $\mathcal{N}_n^P \cup \{n\}$ . We will use the preference lists  $\mathcal{L}_n^P$  in Table 1. For example, in the table the feasible relays for node 7 on the physical graph  $\mathcal{G}^P$  are  $\{5, 6, 7\}$ . The preference list  $(5, 6, 7)$  represents that  $5 \succ_7 6 \succ_7 7$ , i.e., node 7 prefers choosing node 5 as the relay to choosing node 6 and transmitting directly offers the worst performance. Then based on the physical-social graph  $\mathcal{G}^{PS}$  in Figure 3 and the preference list  $\mathcal{L}_n^P$ , each node  $n$  computes the best social trust based relay selection  $r_n^S$ . For example, node 4's best social trust based relay selection  $r_4^S = 1$  (i.e., node 1). Each node  $n$  next determines the preference list  $\mathcal{L}_n^{PC}$  based on the physical-social graph  $\mathcal{G}^{PS}$  in Figure 5.

All the nodes then report the preference lists  $\mathcal{L}_n^{PC}$  to the base-station. Based on the preference lists, the base-station will compute the core relay selection  $(r_n^*)_{n \in \mathcal{N}}$  according to the core relay selection algorithm in Algorithm 1. We illustrate the iterative procedure of the core relay selection

**Table 1: The preference lists of  $N = 7$  nodes based on the physical graph  $\mathcal{G}^P$  and social graph  $\mathcal{G}^S$  in Figure 2.**

Node $n$	Preference List $\mathcal{L}_n^P$	Relay $r_n^S$	Preference List $\mathcal{L}_n^{PO}$
1	(1,2,3,4)	1	(1,2)
2	(1,3,2,4,5)	2	(1,3,2,4)
3	(2,3,4,1)	3	(2,3,4)
4	(2,1,4,3,5,6)	1	(2,4,3,5,6)
5	(4,6,7,5,2)	5	(4,6,7,5)
6	(7,5,4,6)	6	(7,5,4,6)
7	(5,6,7)	7	(5,6,7)



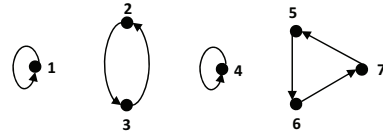
**Figure 6: An illustration of the resulting graphs  $\mathcal{G}^{\mathcal{M}_t}$  at each iteration  $t$  of the core relay selection algorithm.**

algorithm in Figure 6 by adopting the graphical representation  $\mathcal{G}^{\mathcal{M}_t}$  introduced in Section 4.1. Recall that there is an edge directed from node  $n$  to node  $m$  on graph  $\mathcal{G}^{\mathcal{M}_t}$  if node  $m$  is the most preferable relay of node  $n$  given the set of nodes  $\mathcal{M}_t$ . At iteration  $t = 1$ , given that  $\mathcal{M}_1 = \mathcal{N}$ , the base-station identifies one cycle, i.e., a self-loop formed by node 1. At iteration  $t = 2$ , given that  $\mathcal{M}_2 = \mathcal{M}_1 \setminus \{1\}$ , the base-station then identifies one cycle formed by nodes 2 and 3. Notice that graph  $\mathcal{G}^{\mathcal{M}_2}$  can be derived from graph  $\mathcal{G}^{\mathcal{M}_1}$  by removing node 1 and any edges directed to node 1. For each node (e.g., node 2) from which there is a removed edge directed to node 1, we add a new edge directed from the node to its most preferable node among the set of nodes  $\mathcal{M}_2$  (e.g., the edge  $2 \rightarrow 3$ ). We continue in this manner until all the nodes have been removed from the graph. Figure 7 shows all the reciprocal relay selection cycles identified by the core relay selection algorithm in Figure 6. In this case, the core relay selection is: (a) since  $r_1^S = 1$ , node 1 transmits directly; (b) nodes 2 and 3 serves as the relay of each other (i.e., direct reciprocity based relay selection); (c) since  $r_4^S = 1$ , node 4 seeks relay assistance from node 1 (i.e., social trust based relay selection); (d) node 5 serves as the relay of node 7, which in turn serves as the relay of node 6 and node 6 in turn is the relay of node 5 (i.e., indirect reciprocity based relay selection).

### 4.3 Properties of NARS mechanism

We next study the properties of the proposed NARS mechanism. First of all, according to the definition of the core solution of coalitional game, we know that

**LEMMA 4.** *The core relay selection  $(r_n^*)_{n \in \mathcal{N}}$  by NARS mechanism is immune to group deviations, i.e., no group of nodes can deviate and improve by cooperation within the group.*



**Figure 7: The reciprocal relay selection cycles identified by the core relay selection algorithm in Figure 6**

We can then show that the mechanism guarantees individual rationality, which means that each participating node will not achieve a lower data rate than that when the node does not participate (i.e., in this case the node will transmit directly).

**LEMMA 5.** *The core relay selection  $(r_n^*)_{n \in \mathcal{N}}$  by NARS mechanism is individually rational, i.e., each node  $n \in \mathcal{N}$  will be assigned a relay  $r_n^*$  which satisfies either  $r_n^* \succ_n n$  or  $r_n^* = n$ .*

**PROOF.** If the assigned relay  $r_n^* \prec_n n$  for some node  $n \in \mathcal{N}$ , then the node  $n$  can deviate from the current coalition and improve its data rate by transmitting directly (i.e.,  $r_n^* = n$ ). This contradicts with the fact that  $(r_n^*)_{n \in \mathcal{N}}$  is a core relay selection.  $\square$

We next explore the truthfulness of NARS mechanism. A mechanism is truthful if no node can improve by reporting a preference list different from its true preference list, given that other nodes report truthfully.

**LEMMA 6.** *NARS mechanism is truthful.*

**PROOF.** Let  $\mathcal{C}^t$  be the set of nodes in the reciprocal relay selection cycles obtained in the  $t$ -th iteration of core relay selection algorithm. Suppose that the node  $m$  reports another preference list that is different from its true preference list. Let  $\tau$  be the index such that  $m \in \mathcal{C}^\tau$ . Given that the nodes in the set  $\cup_{t=1}^{\tau-1} \mathcal{C}^t$  truthfully report, they will be assigned the relays in the core relay selection regardless of what the nodes out of the set  $\cup_{t=1}^{\tau-1} \mathcal{C}^t$  report. In this case, given the set of remaining nodes  $\mathcal{M}_\tau = \mathcal{N} \setminus \cup_{t=1}^{\tau-1} \mathcal{C}^t$ , the most preferable relay of node  $m$  is the relay  $r_m^*$  in the core relay selection. This is exactly what the node  $m$  achieves by reporting truthfully. Thus, the node  $m$  can not improve by reporting another preference list.  $\square$

We finally consider the computational complexity of NARS mechanism. We say the mechanism is computationally efficient if the solution can be computed in polynomial time.

**LEMMA 7.** *NARS mechanism is computationally efficient.*

**PROOF.** Recall that the reciprocal relay selection cycle finding algorithm in Algorithm 2 has a complexity of  $\mathcal{O}(|\mathcal{M}_t|)$ . Since the reciprocal relay selection cycle finding algorithm is the dominating step in each iteration, the core relay selection algorithm hence has a complexity of  $\mathcal{O}(\sum_{t=1}^T |\mathcal{M}_t|)$ . As  $\sum_{t=1}^T |\mathcal{M}_t| = N + \sum_{t=2}^T (N - \sum_{\tau=1}^{t-1} |\mathcal{C}^\tau|)$  and  $\sum_{t=1}^T |\mathcal{C}^\tau| = N$ , by setting  $|\mathcal{C}^\tau| = 1$  for  $\tau = 1, \dots, T$ , we have the worst case that  $\sum_{t=1}^T |\mathcal{M}_t| = \sum_{i=1}^N i = \frac{N(N+1)}{2}$ . Thus, the mechanism has a complexity of at most  $\mathcal{O}(N^2)$ .  $\square$

The above four Lemmas together prove the following theorem.



THEOREM 2. *NARS mechanism is immune to group deviations, individually rational, truthful, and computationally efficient.*

## 5. SIMULATIONS

In this section we evaluate the performance of the proposed social trust and social reciprocity based relay selection for cooperative D2D communications through simulations.

We consider that multiple nodes are randomly scattered across a square area with a side length of 1000 m. Two nodes are randomly matched into a source-destination D2D communication link. We compute the SNR value  $\mu_{ij}$  according to the physical interference model, i.e.,  $\mu_{ij} = \frac{p_i}{\omega_0 \cdot ||i,j||^\alpha}$  with the transmission power  $p_i = 1$  Watt, the background noise  $\omega_0 = 10^{-10}$  Watts, and the path loss factor  $\alpha = 4$ . Based on the SNR  $\mu_{ij}$ , we set the bandwidth  $W = 10$  Mhz and then compute the data rate achieved by using different relays according to Equation (1). We construct the physical graph  $\mathcal{G}^P$  by setting  $e_{nm}^P = 1$  (i.e., node  $m$  is a feasible relay of node  $n$ ) if and only if the distance between nodes  $n$  and  $m$  is not greater than a threshold  $\delta = 500$  m (i.e.,  $||n, m|| \leq \delta$ ). For the social trust model, we will consider two types of social graphs: Erdos-Renyi social graph and real data trace based social graph.

### 5.1 Erdos-Renyi Social Graph

We first consider  $N = 100$  nodes with the social graph  $\mathcal{G}^S$  represented by the Erdos-Renyi (ER) graph model [14] where a social link exists between any two nodes with a probability of  $P_L$ . To evaluate the impact of social link density of the social graph, we implement the simulations with different social link probabilities  $P_L = 0, 0.05, 0.1, \dots, 1.0$ , respectively. For each given  $P_L$ , we average over 1000 runs. As the benchmark, we also implement the solution that each node transmits directly, the solution that each node selects the relay based social trust only (i.e.,  $r_n = r_n^S$ ), and the solution that each node selects the relay based on social reciprocity only by assuming that there is no social trust among the nodes. Furthermore, we also compute the throughput upper bound by letting each node select the best relay  $\bar{r}_n = \arg \max_{r_n \in \mathcal{N}_n^P \cup \{n\}} R_n(r_n)$  among all its feasible relays. Notice that the throughput upper bound can only be achieved when all the nodes are willing to help each other (i.e., all the nodes are cooperative).

We show the average system throughput in Figure 8. We see that the performance of the social trust and social reciprocity based relay selection dominates that of social trust only based relay selection and social reciprocity only based relay selection. When the social link probability  $P_L$  is small, the social trust and social reciprocity based relay selection achieves up to 64.5% performance gain over the social trust only based relay selection. When the social link probability  $P_L$  is large, the social trust and social reciprocity based relay selection achieves up to 24% performance gain over the social reciprocity only based relay selection. We also observe that the social trust and social reciprocity based relay selection achieves up-to 100.4% performance gain over the case that all the nodes transmit directly. Compared with the throughput upper bound, the performance loss of the social trust and social reciprocity based relay selection is at most 24%. As the social link probability  $P_L$  increases, the social trust and social reciprocity based relay selection improves and approaches the throughput upper bound. This is due

to the fact that when the social link probability  $P_L$  is large, each node will have a high probability of having social trust from any other node and hence each node is likely to have social trust from its best relay node. This can be illustrated by Figure 9 that the average size of the reciprocal relay selection cycles in the social trust and social reciprocity based relay selection decreases as the social link probability  $P_L$  increases.

### 5.2 Real Trace Based Social Graph

We then evaluate the proposed social trust and social reciprocity based relay selection with the social graphs generated according to the friendship network of the real data trace Brightkite [11]. We implement simulations with the number of nodes  $N = 250, 500, \dots, 1500$ , respectively. The total number of social links among these nodes of the social graphs is shown in Figure 10.

We show the average system throughput in Figure 11. We see that the system throughput of the social trust and social reciprocity based relay selection increases as the number of users  $N$  increases. This is because that more cooperation opportunities among the nodes are present when the number of users  $N$  increases. Moreover, the social trust and social reciprocity based relay selection achieves up-to 122% performance gain over the solution that all users transmit directly. Compared with the throughput upper bound, the performance loss by the social trust and social reciprocity based relay selection is at most 21%. We also show the computational complexity of the NARS mechanism for computing the social trust and social reciprocity based relay selection solution in Figure 12. We see that the average number of iterations of the mechanism grows linearly as the number of nodes  $N$  increases. This demonstrates that the proposed NARS mechanism is computationally efficient (i.e., has a polynomial convergence time).

## 6. CONCLUSION

In this paper we studied cooperative D2D communications based on social trust and social reciprocity. We introduced the physical-social graphs to capture the physical constraints for feasible D2D cooperation and the social relationships among devices for effective cooperation. We proposed a coalitional game theoretic approach to find the efficient D2D cooperation strategy and developed a network assisted relay selection mechanism for implementing the coalitional game solution. We showed that the devised mechanism is immune to group deviations, individually rational, truthful, and computationally efficient. We further evaluated the performance of the mechanism based on Erdos-Renyi social graphs and real data trace based social graphs. Numerical results show that the proposed mechanism can achieve up-to 122% performance gain over the case without D2D cooperation.

We are currently generalizing the notion of social trust from the current one-hop setting (e.g., friends) to the multi-hop setting (e.g., friend's friends). Intuitively, as the number of social hops between two nodes increases, the strength of social trust decreases. Mathematically, we can introduce a weighted social graph to model such features by defining the weight as the strength of social trust. It is of great interest to design efficient stimulation mechanisms for D2D cooperation by taking both generalized social trust and social reciprocity into account.

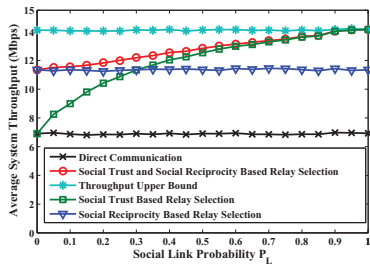


Figure 8: System throughput with the number of nodes  $N = 100$  and different social network density.

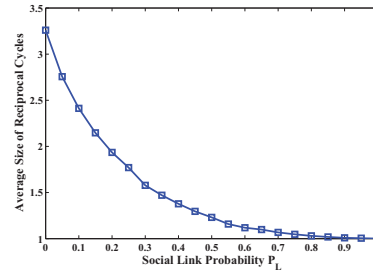


Figure 9: Average size of the reciprocal relay selection cycles in the social trust and social reciprocity based relay selection with  $N = 100$  and different social network density.

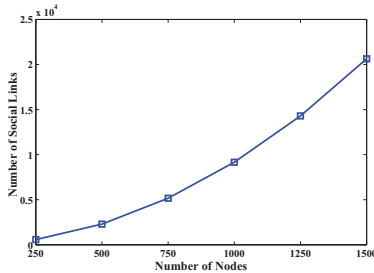


Figure 10: The number of social links of the social graphs based on real trace Brightkite.

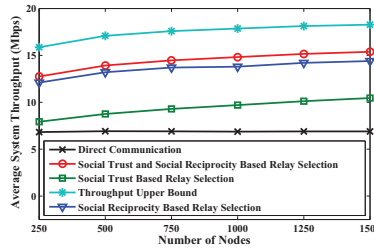


Figure 11: Average system throughput with different number of nodes.

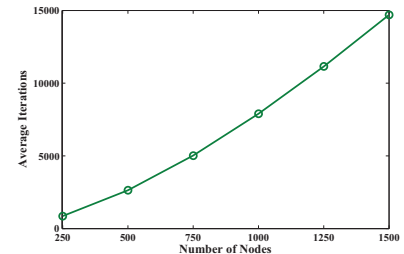


Figure 12: Average number of iterations of the NARS mechanism.

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